Top-down Chart Parsing: the Earley algorithm Data Structures and Algorithms for Computational Linguistics III (ISCL-BA-07)

Çağrı Çöltekin ccoltekin@sfs.uni-tuebingen.de

University of Tübingen Seminar für Sprachwissenschaft

Winter Semester 2021/22

version: 9cfc034 @2022-02-02

Parsing so far

- We can formulate parsing as
 - Top-down: begin with the start symbol, try to *produce* the input string to be parsed
 - Bottom up: begin with the input, and try to *reduce* it to the start symbol
- Another aspect of a parser is its directionality. Two choices are:
 - Directional: parses processes the input left to right (right to left is also possible, but rarely used)
 - Non-directional: order is not important, typically require all input to be in memory before processing

S \rightarrow NP VP $NP \rightarrow Det N$ $VP \rightarrow V NP$ $VP \rightarrow V$ Det \rightarrow a Det \rightarrow the $N \rightarrow cat$ $N \rightarrow dog$ \rightarrow bites V

the cat bites a dog

Top-down parsing as search

S

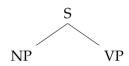
S \rightarrow NP VP $NP \rightarrow Det N$ $VP \rightarrow V NP$ $VP \ \rightarrow \ V$ Det \rightarrow a Det \rightarrow the $N \rightarrow cat$ $N \rightarrow dog$ \rightarrow bites V

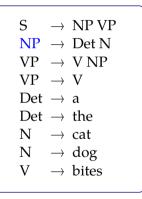
the cat bites a dog

dog

а

Top-down parsing as search

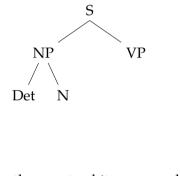


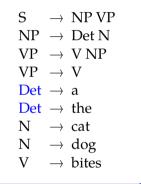


the

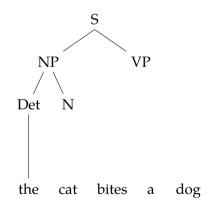
cat

bites

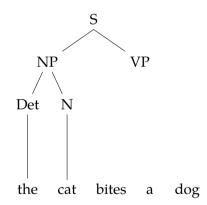




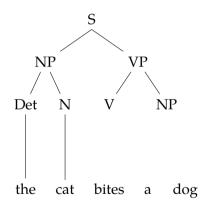
the cat bites a dog



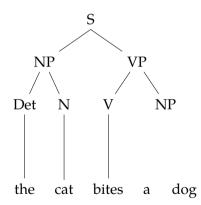
$$\begin{array}{rcl} S & \rightarrow & NP \ VP \\ NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \\ V & \rightarrow & bites \end{array}$$



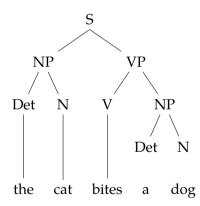
$$\begin{array}{rrrr} S & \rightarrow & NP \ VP \\ NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \\ V & \rightarrow & bites \end{array}$$



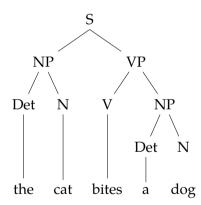
$$\begin{array}{rcl} S & \rightarrow & NP \ VP \\ NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \\ V & \rightarrow & bites \end{array}$$



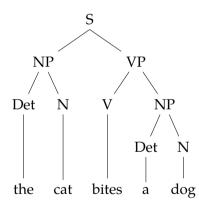
$$\begin{array}{rcl} S & \rightarrow & NP \ VP \\ \hline NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \\ V & \rightarrow & bites \end{array}$$



$$\begin{array}{rcl} S & \rightarrow & NP \ VP \\ NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ \hline Det & \rightarrow & a \\ \hline Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \\ V & \rightarrow & bites \end{array}$$



$$\begin{array}{rcl} S & \rightarrow & NP \ VP \\ NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \\ V & \rightarrow & bites \end{array}$$



$$\begin{array}{rrrr} S & \rightarrow & NP \ VP \\ NP & \rightarrow & Det \ N \\ VP & \rightarrow & V \ NP \\ VP & \rightarrow & V \\ Det & \rightarrow & a \\ Det & \rightarrow & the \\ N & \rightarrow & cat \\ N & \rightarrow & dog \\ V & \rightarrow & bites \end{array}$$

Earley algorithm

- Earley algorithm is a top down (and left-to-right) parsing algorithm
- It allows arbitrary CFGs
- Keeps record of constituents that are

predicted using the grammar (top-down) in-progress with partial evidence completed based on input seen so far at every position in the input string

• Time complexity is $O(n^3)$

Earley chart entries (states or items)

Earley chart entries are CF rules with a 'dot' on the RHS representing the state of the rule

- A $\rightarrow \ \bullet \alpha[i,i]$ predicted without any evidence (yet)
- A $\rightarrow \alpha \bullet \beta[i, j]$ partially matched
- $\bullet \,\, A \,\, \to \,\, \alpha\beta \bullet [i,j]$ completed, the non-terminal A is found in the given span

Earley algorithm: an informal sketch

- 1. Start at position 0, predict S
- 2. Predict all possible states (rules that apply)
- 3. Read a word
- 4. Update the table, advance the dot if possible
- 5. Go to step 2
- 6. If we have a completed S production at the end of the input, the input it recognized

Earley algorithm: three operations

Predictor adds all rules that are possible at the given state Completer adds states from the earlier chart entries that match the completed state to the chart entry being processed, and advances their dot Scanner adds a completed state to the next chart entry if the current category is a pre-terminal symbol, and the terminal symbol (word) matches

Earley parsing example (chart[0])

state	she <u>1</u> rule	saw	2	a position	3 01	duck peration	4
<u>.</u>		2		1	1		
0	$\gamma ightarrow ullet$			[0,0]		itializati	on
1	$S \rightarrow \bullet$]	NP VP		[0,0]	pr	edictor	
2	$S \rightarrow \bullet_{A}$	Aux NP V	Р	[0,0]	pr	edictor	
3	$\mathrm{NP}~ ightarrow$	●Det N		[0,0]	pr	edictor	
4	$\mathrm{NP}~ ightarrow$	•NP PP		[0,0]	pr	edictor	
5	$NP \ \rightarrow$	●Prn		[0,0]	pr	edictor	

Note: the chart[0] is independent of the input.

 \rightarrow NP VP \rightarrow Aux NP VP $NP \rightarrow Det N$ $NP \rightarrow Prn$ $NP \rightarrow NP PP$ $VP \rightarrow V NP$ $VP \rightarrow V$ $VP \rightarrow VP PP$ $PP \rightarrow Prp NP$ \rightarrow duck \rightarrow park \rightarrow duck \rightarrow ducks \rightarrow saw $Prn \rightarrow she \mid her$ Prp \rightarrow in | with Det \rightarrow a | the Aux \rightarrow does | has

Earley parsing example (chart[1])

o sł	ne 1 saw 2	a	₃ duck ₄
state	rule	position	operation
6	$Prn \ \rightarrow she \ \bullet$	[0,1]	scanner
7	$\mathrm{NP}\ ightarrow \mathrm{Prn}ullet$	[0,1]	completer
8	$S \ \rightarrow NP \ \bullet VP$	[0,1]	completer
9	$NP \ \rightarrow NP \ \bullet PP$	[0,1]	completer
10	$\mathrm{VP} \ \rightarrow \bullet \mathrm{V} \ \mathrm{NP}$	[1,1]	predictor
11	$\mathrm{VP}\ \to \bullet\mathrm{V}$	[1,1]	predictor
12	$\mathrm{VP} \ \rightarrow ullet \mathrm{VP} \ \mathrm{PP}$	[1,1]	predictor
13	$PP \ \rightarrow \bullet Prp \ NP$	[1,1]	predictor

S	\rightarrow NP VP
S	\rightarrow Aux NP VP
NP	\rightarrow Det N
NP	$ ightarrow \mathrm{Prn}$
NP	\rightarrow NP PP
VP	$\rightarrow V NP$
VP	$\rightarrow \mathrm{V}$
VP	\rightarrow VP PP
PP	\rightarrow Prp NP
Ν	\rightarrow duck
Ν	\rightarrow park
V	\rightarrow duck
V	\rightarrow ducks
V	\rightarrow saw
Prn	\rightarrow she her
Prp	\rightarrow in with
Det	\rightarrow a the
Aux	\rightarrow does has

Earley parsing example (chart[2])

o sł	ne ₁ saw 2	a	₃ duck ₄
state	rule	position	operation
14	$V \ \rightarrow saw \ \bullet$	[1,2]	scanner
15	$VP \ \rightarrow V \bullet NP$	[1,2]	completer
16	$\mathrm{VP}\ \to \mathrm{V} \bullet$	[1,2]	completer
17	$S \ \rightarrow NP \ VP \bullet$	[0,2]	completer
18	$NP \ \to \bullet Det \ N$	[2,2]	predictor
19	$\mathrm{NP} \ ightarrow ullet \mathrm{NP} \mathrm{PP}$	[2,2]	predictor
20	$NP \rightarrow \bullet Prn$	[2,2]	predictor

S \rightarrow NP VP S \rightarrow Aux NP VP $NP \rightarrow Det N$ $NP \rightarrow Prn$ $NP \rightarrow NP PP$ $VP \rightarrow V NP$ $VP \rightarrow V$ $VP \rightarrow VP PP$ $PP \rightarrow Prp NP$ Ν \rightarrow duck $N \rightarrow park$ $V \rightarrow duck$ V \rightarrow ducks V \rightarrow saw $Prn \rightarrow she \mid her$ $Prp \rightarrow in \mid with$ Det \rightarrow a | the Aux \rightarrow does | has

Earley parsing example (chart[3])

o sh	ie ₁	saw	2 a	3 duck 4
state	rule		position	operation
21 22	$\begin{array}{c} \text{Det} \rightarrow \\ \text{NP} \rightarrow \end{array}$	a ● Det ●N	[2,3] [2,3]	scanner completer

S \rightarrow NP VP S \rightarrow Aux NP VP $NP \rightarrow Det N$ $NP \rightarrow Prn$ $NP \rightarrow NP PP$ $VP \rightarrow V NP$ $VP \rightarrow V$ $VP \rightarrow VP PP$ $PP \rightarrow Prp NP$ Ν \rightarrow duck $N \rightarrow park$ V \rightarrow duck V \rightarrow ducks V \rightarrow saw $Prn \rightarrow she \mid her$ $Prp \rightarrow in \mid with$ Det \rightarrow a | the Aux \rightarrow does | has

Earley parsing example (chart[4])

0 S	he ₁ saw	2 a	₃ duck ₄
state	rule	position	operation
23	$N \ \rightarrow duck \bullet$	[3,4]	scanner
24	$V \ \rightarrow duck \bullet$	[3,4]	scanner
25	$NP \ \rightarrow Det \ N \bullet$	[2,4]	completer
26	$\mathrm{VP}\ \to \mathrm{V}\ \mathrm{NP} \bullet$	[1, 4]	completer
27	$S \ \rightarrow NP \ VP \bullet$	[0,4]	completer

S	\rightarrow NP VP
S	\rightarrow Aux NP VP
NP	\rightarrow Det N
NP	$ ightarrow \mathrm{Prn}$
NP	\rightarrow NP PP
VP	$\rightarrow V NP$
VP	$\rightarrow \mathrm{V}$
VP	\rightarrow VP PP
PP	\rightarrow Prp NP
Ν	\rightarrow duck
Ν	\rightarrow park
V	\rightarrow duck
V	\rightarrow ducks
V	\rightarrow saw
Prn	\rightarrow she her
Prp	\rightarrow in with
Det	\rightarrow a the
Aux	\rightarrow does has

Earley parsing: summary

- Complexity (asymptotic) is the same as CKY
 - time complexity : $O(n^3)$
 - space complexity: $O(n^2)$
- Our example shows recognition, we need to maintain back links for parsing
- Again, the Earley chart stores a parse forest compactly, but extracting all trees may require exponential time

Summary

- The early parser is a top-down parser with bottom-up filtering (or, you can also view it the other way around)
- The parser improves over a backtracking parser by
 - dynamic programming: not re-computing the subtrees
 - filtering: not generating hypotheses (predictor) that cannot match at a given input position
- It can process any CFG (no need for CNF)
- There is a nice relation between CKY and Earley: you can view Earley as binarizing the grammar (converting to CNF) 'on the fly'

Summary

- The early parser is a top-down parser with bottom-up filtering (or, you can also view it the other way around)
- The parser improves over a backtracking parser by
 - dynamic programming: not re-computing the subtrees
 - filtering: not generating hypotheses (predictor) that cannot match at a given input position
- It can process any CFG (no need for CNF)
- There is a nice relation between CKY and Earley: you can view Earley as binarizing the grammar (converting to CNF) 'on the fly'

Next:

- Dependency parsing
- Reading suggestion: Jurafsky and Martin (2009, draft chapter 14)

An exercise

Construct the CKY and Earley charts for the sentence below

The duck she saw is in the park

Recommended grammar:	
$\begin{array}{lll} S & \rightarrow NP \ VP \\ NP & \rightarrow Det \ N \\ NP & \rightarrow Prn \\ NP & \rightarrow NP \ PP \\ NP & \rightarrow NP \ S \\ VP & \rightarrow V \ NP \\ VP & \rightarrow V \\ VP & \rightarrow VP \ PP \end{array}$	$\begin{array}{ll} PP & \rightarrow Prp \ NP \\ N & \rightarrow park \\ N & \rightarrow duck \\ V & \rightarrow is \\ V & \rightarrow saw \\ Prn & \rightarrow she \\ Prp & \rightarrow in \\ Det & \rightarrow the \end{array}$

Acknowledgments, references, additional reading material



Jurafsky, Daniel and James H. Martin (2009). Speech and Language Processing: An Introduction to Natural Language Processing, Computational Linguistics, and Speech Recognition. second edition. Pearson Prentice Hall. ISBN: 978-0-13-504196-3.