

# Trees

Data Structures and Algorithms for Computational Linguistics III  
(ISCL-BA-07)

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Seminar für Sprachwissenschaft

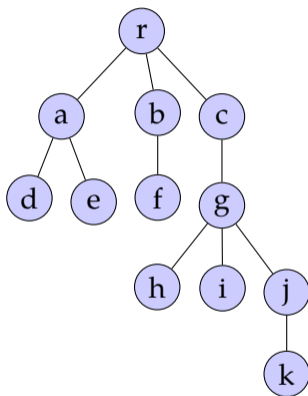
Winter Semester 2021/22

# Why study trees

- A tree is a, *hierarchical, non-linear* data structure useful in many algorithms
- We have already resorted to descriptions using trees (e.g., recursion trace)
- A tree is a *graph* with certain properties
- It is also very common in (computational) linguistics:
  - Parse trees: representing syntactic structure of sentences
  - Language trees: representing the historical relations between languages
  - Decision trees: a well-known algorithm for machine learning, also used for many NLP problems

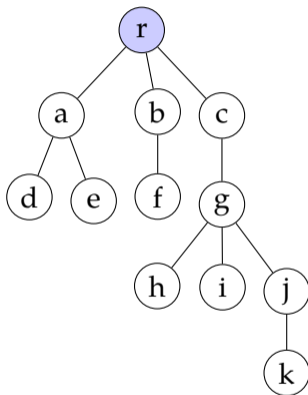
# Definitions

- A tree is a set of **nodes** organized hierarchically with the following properties:
  - If a tree is non-empty, it has a special node root
  - Except the root node, every node in the tree has a unique parent (all nodes except the root are children of another node)
- Alternatively, we can define a tree recursively:
  - The empty set of nodes is a tree
  - Otherwise a tree contains a root with sub-trees as its children



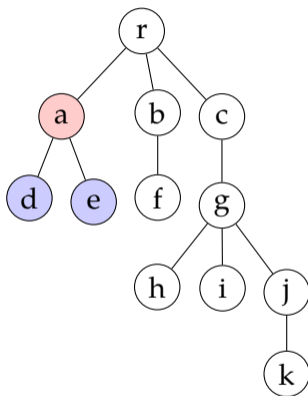
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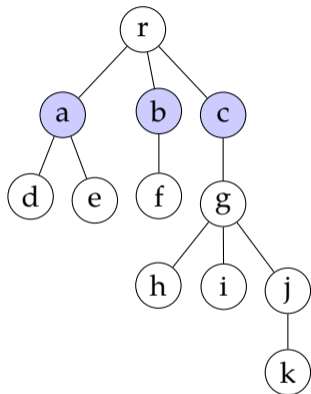
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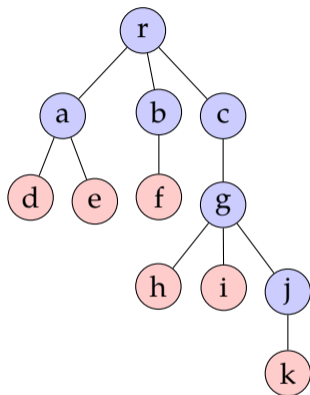
## More definitions

- The nodes with the same parent are called **siblings**
- The nodes with children are called **internal nodes**
- The nodes without children are the **leaf nodes**
- A **path** is a sequence of connected nodes
- Any node in the path from the root to a particular node is its **ancestors**
- A node is the **descendant** of its ancestors
- The **subtree** is a tree rooted by a non-root node
- The **depth** of a node is the number of edges from root
- The **height** of a node is the number of edges from the deepest descendant
- The **height** of a tree is the height of its root



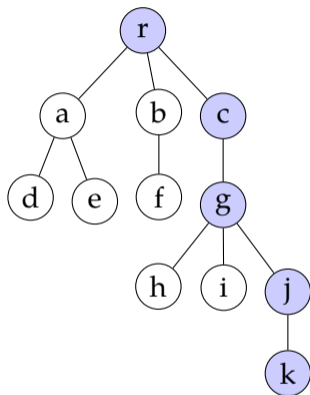
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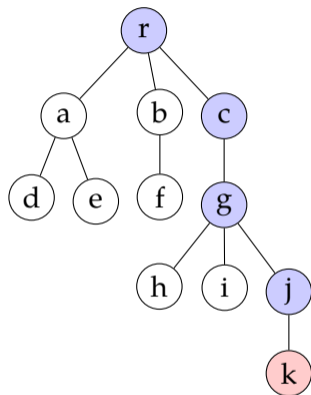
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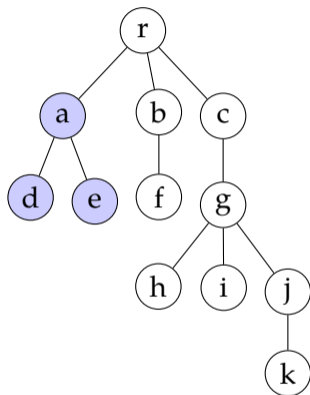
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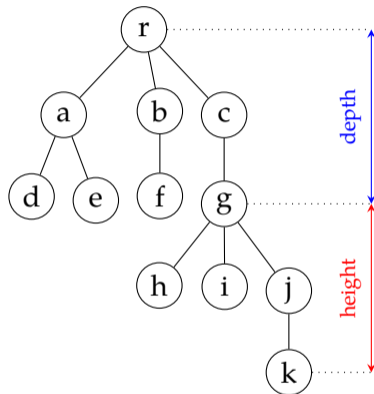
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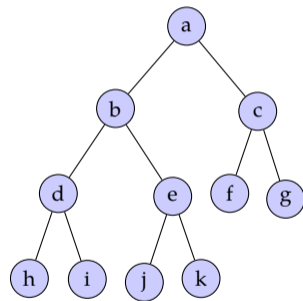
# Ordered trees

- A tree is ordered if there is an ordering between siblings. Typical examples include:
  - A tree representing a document (e.g., HTML) structure
  - Parse trees
  - (maybe) a family tree
- In many cases order is not important
  - Class hierarchy in a object-oriented program
  - The tree representing files in a computer

# Binary trees

## even more definitions

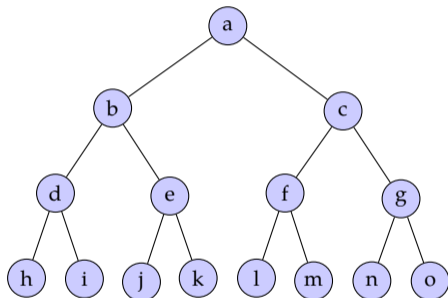
- Binary trees, where nodes can have at most two children, have many applications
- Binary trees have a natural order, each child is either a *left child* or a *right child*
- A binary tree is *proper*, or *full* if every node has either two children or none
- In a *complete* binary tree, every level except possibly the last, is completely filled, and all nodes at the last level is at the left
- A *perfect* binary tree is a full binary tree whose leaf nodes have the same depth



# Some properties of binary trees

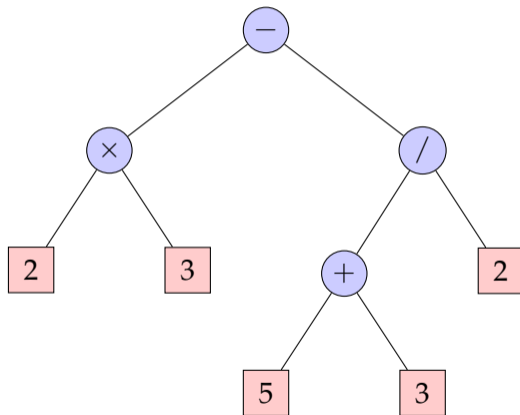
For a binary tree with  $n_\ell$  leaf,  $n_i$  internal,  $n$  nodes and with height  $h$

- $h + 1 \leq n \leq 2^{h+1} - 1$
- $1 \leq n_\ell \leq 2^h$
- $h \leq n_i \leq 2^h - 1$
- $\log(n + 1) - 1 \leq h \leq n - 1$
- For any proper binary tree,  $n_\ell = n_i + 1$



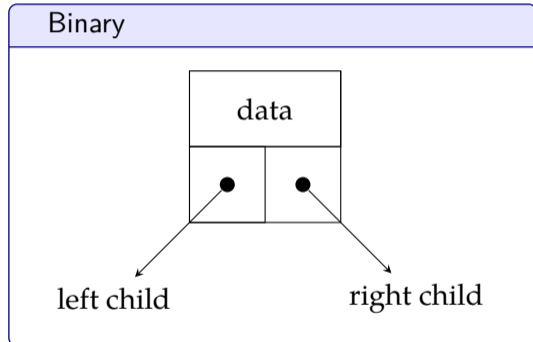
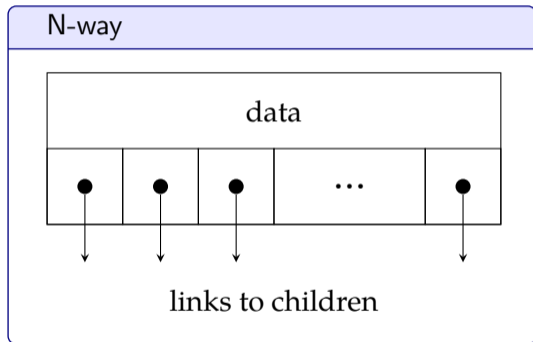
# Binary tree example: expression trees

$$2 \times 3 + (5 + 3)/2$$



# Implementation of trees

general case: linked data structures

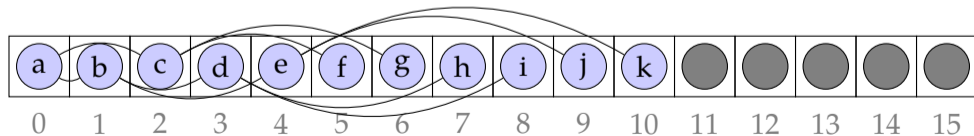
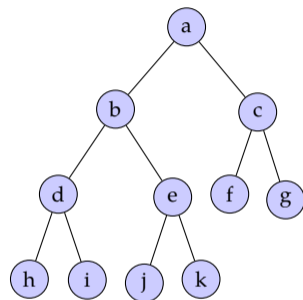




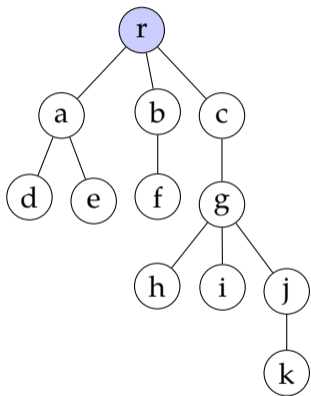
# Implementation of trees

## array implementation of binary trees

- Binary trees can also be implemented with arrays:
  - the root node is stored at index 0
  - the left child of the node at index  $i$  is stored at  $2i + 1$
  - the right child of the node at index  $i$  is stored at  $2i + 2$
  - the parent of the node at index  $i$  is at index  $\lfloor (i - 1) / 2 \rfloor$
- If the binary tree is complete, this representation does not waste (much) space

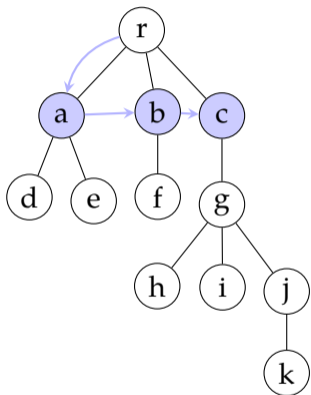


## Breadth first traversal (level order)



```
def breadth_first(root):  
    queue = []  
    queue.append(root)  
    while queue:  
        node = queue.pop(0)  
        # process the node  
        print(node.data)  
        for child in node.children:  
            queue.append(child)
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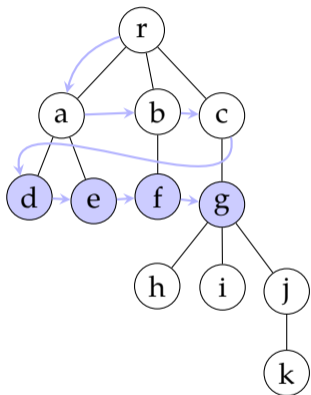
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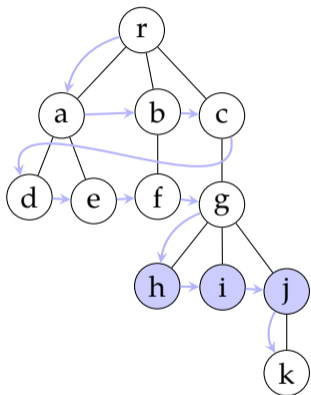
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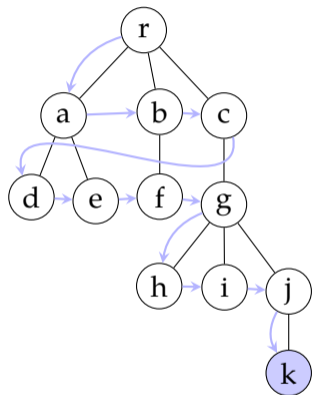
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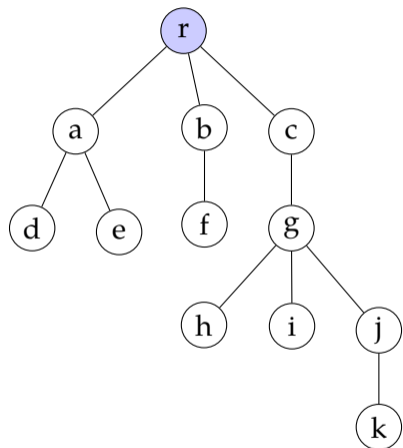
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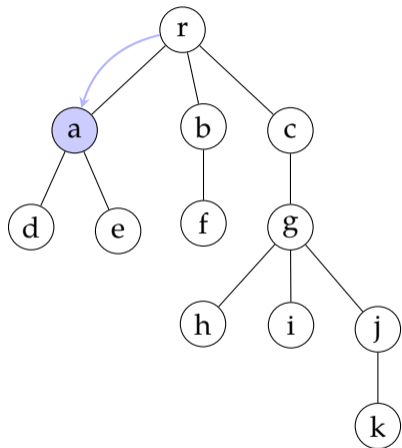
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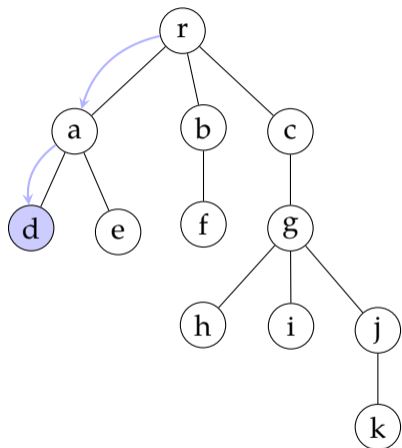
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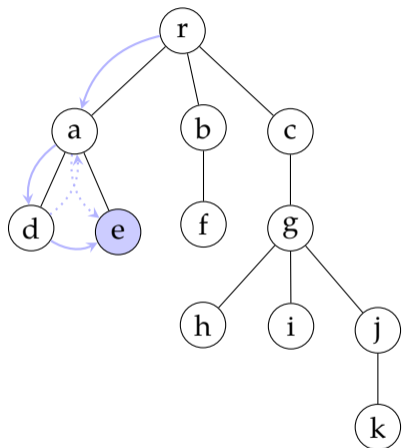


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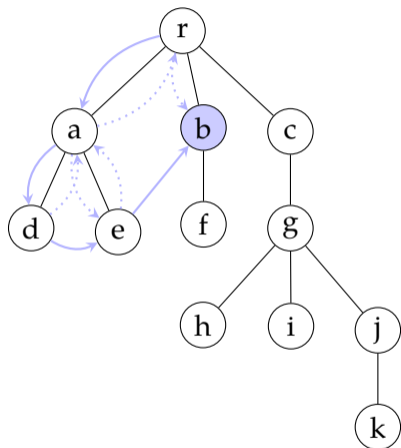
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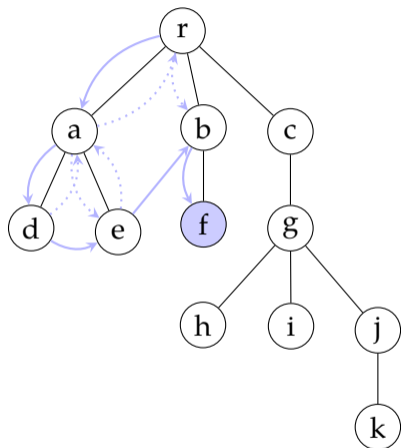
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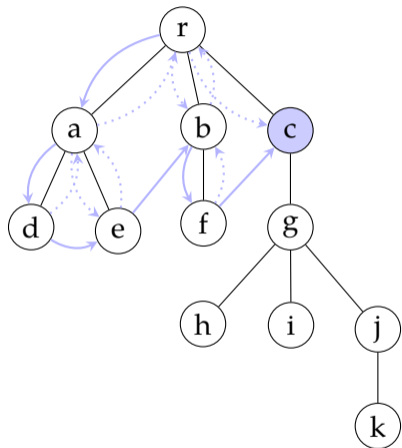
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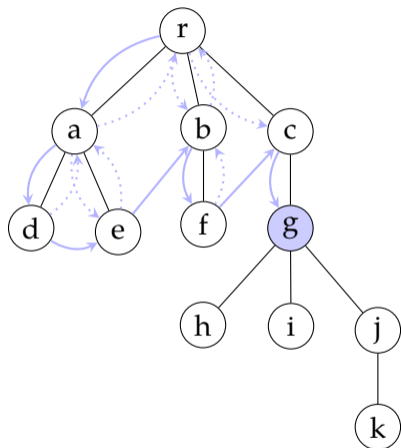
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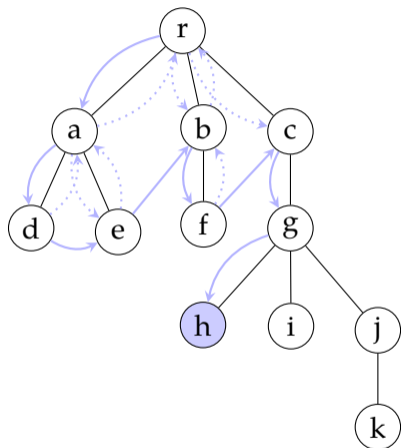
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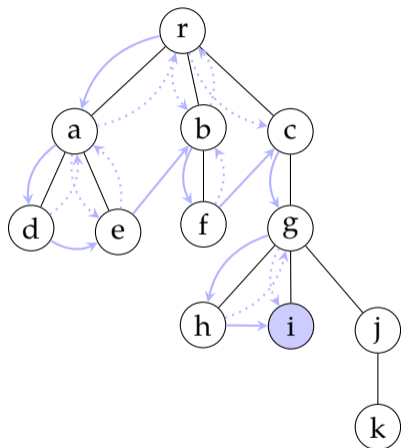
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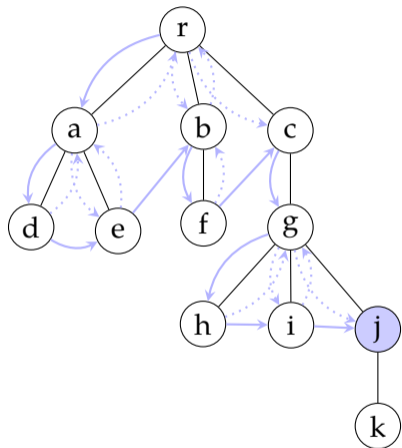
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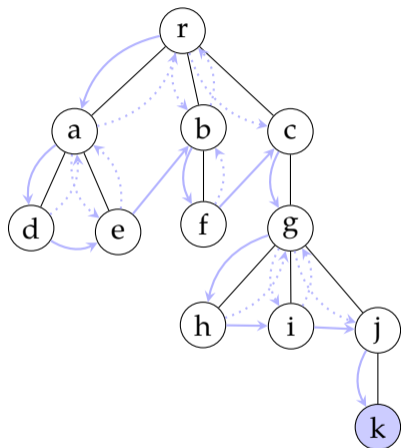


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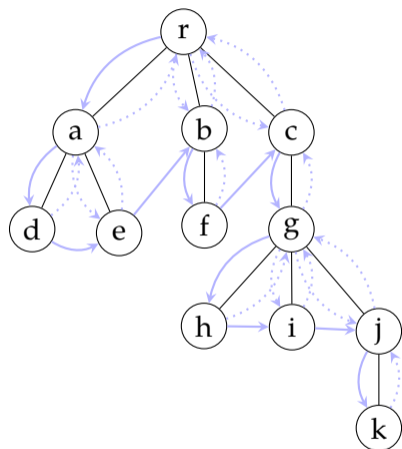
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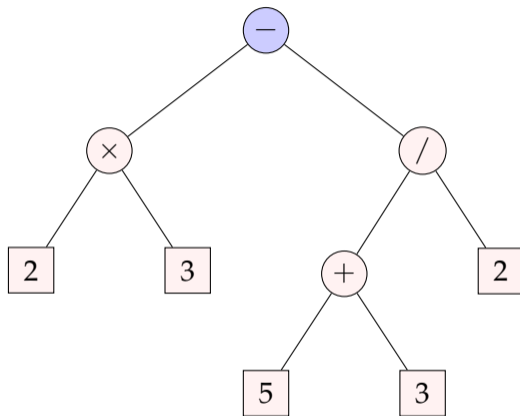
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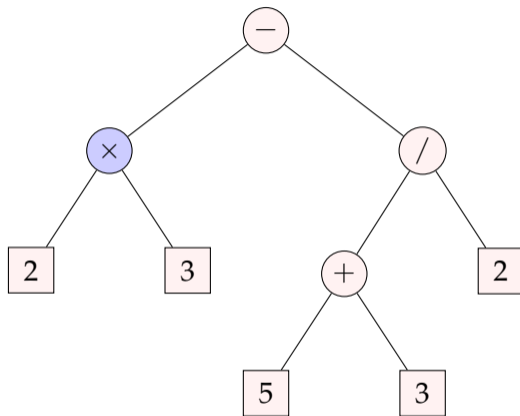
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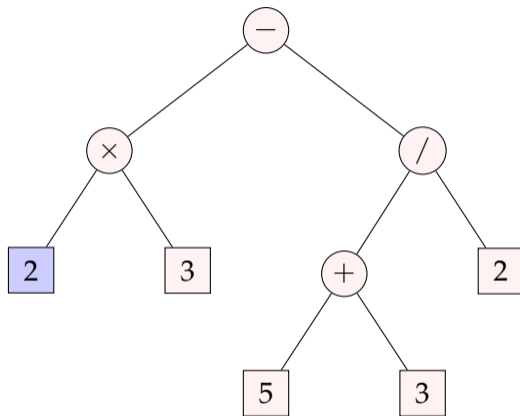
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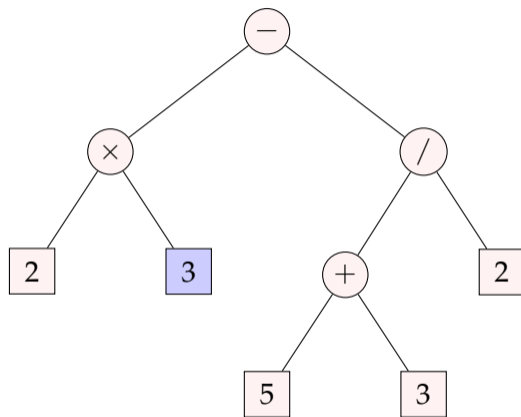


$- \times$

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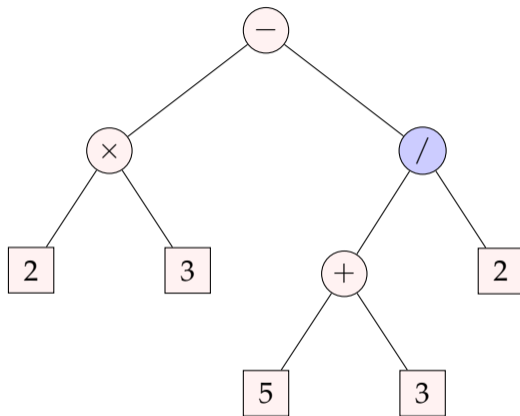

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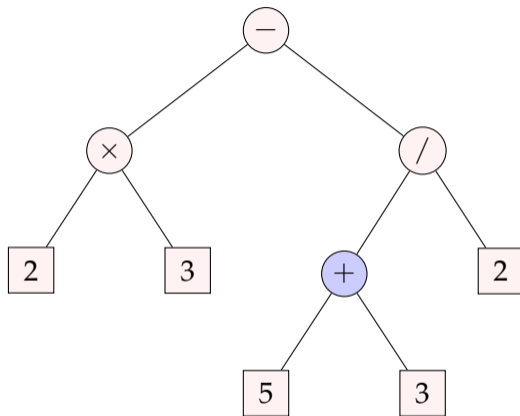
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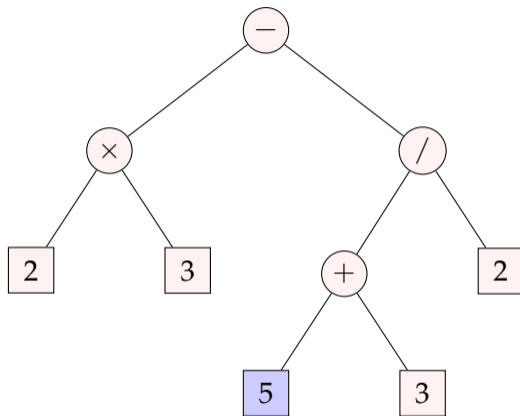


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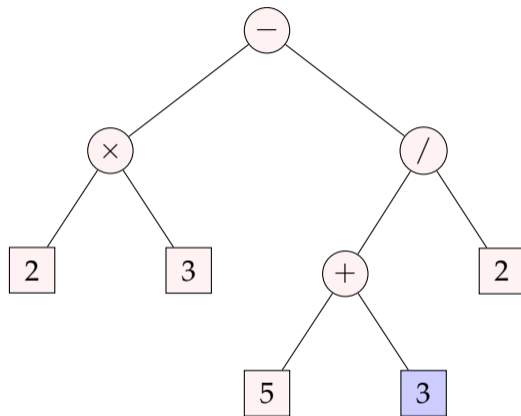
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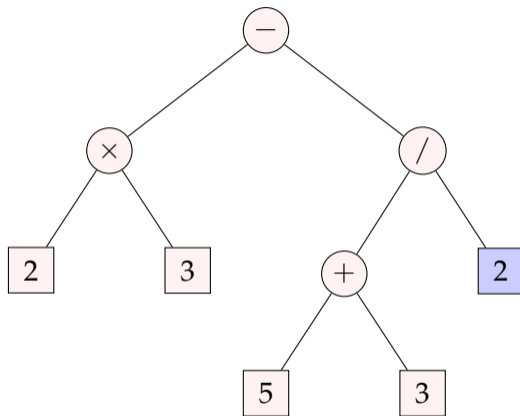
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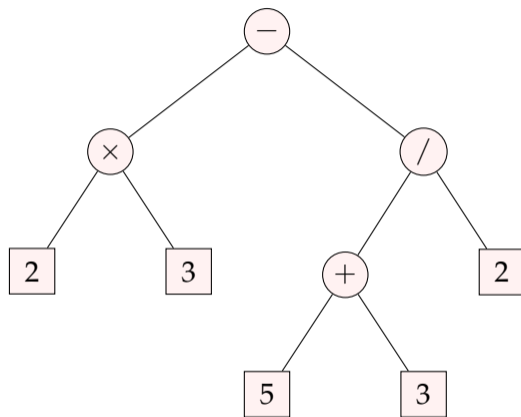
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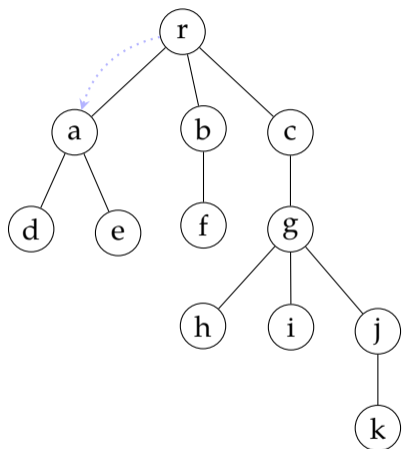
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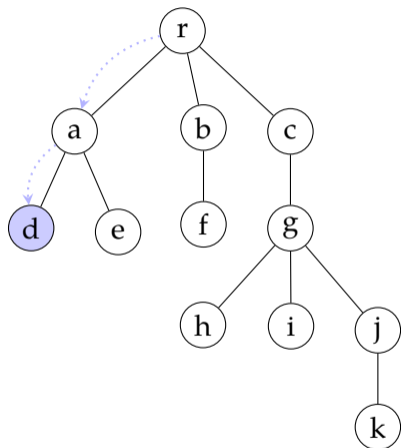
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# Post-order traversal



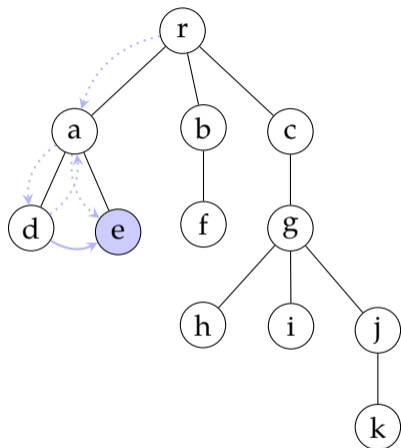
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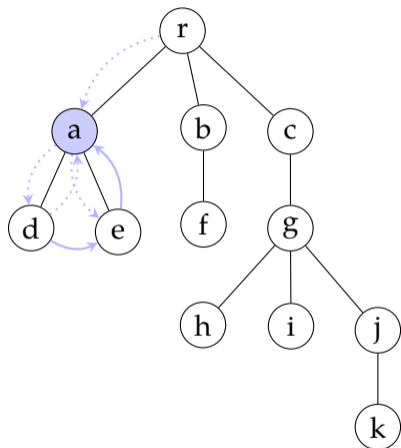
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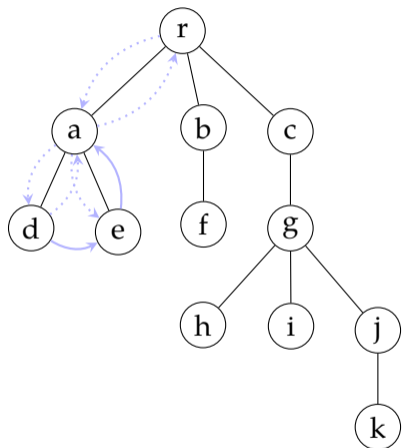
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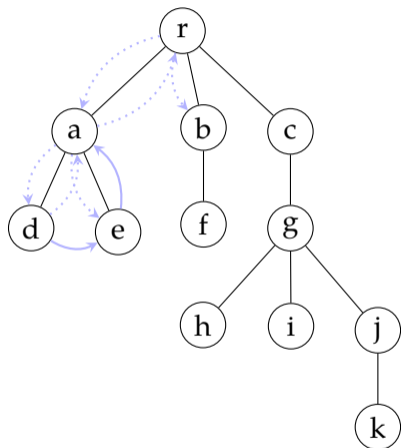
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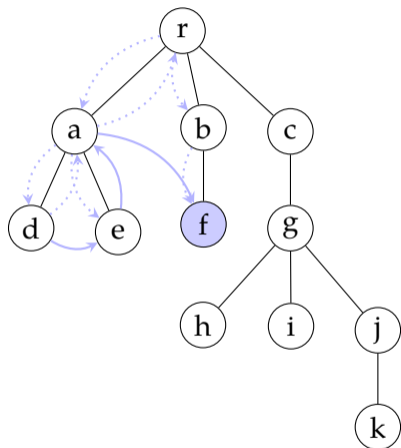
# Post-order traversal



```

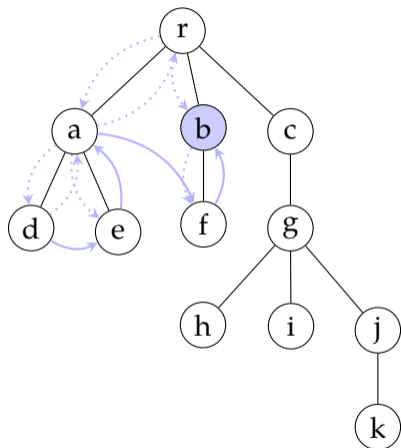
def post_order(node):
    for child in node.children:
        post_order(child)
    # process the node
    print(node.data)
  
```

# Post-order traversal



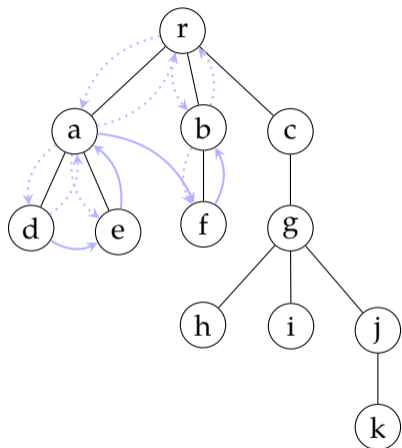
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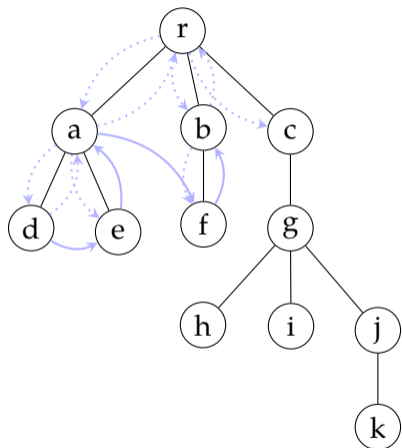
# Post-order traversal



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        post_order(child)
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    print(node.data)
  
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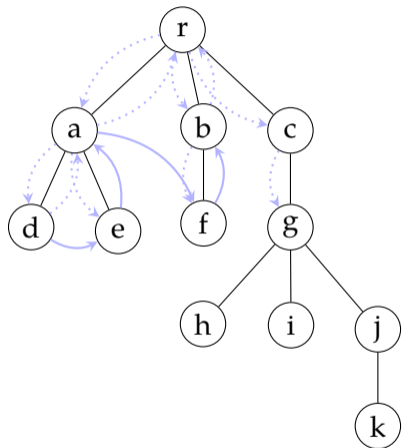
# Post-order traversal



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def post_order(node):
    for child in node.children:
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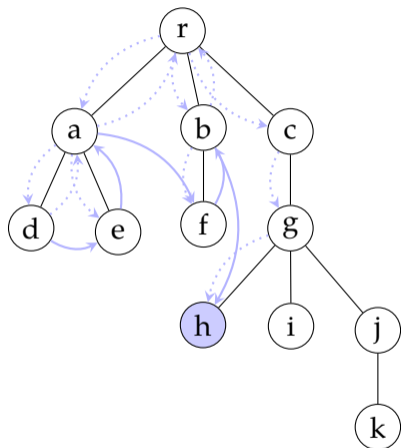
# Post-order traversal



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def post_order(node):
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        post_order(child)
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    print(node.data)
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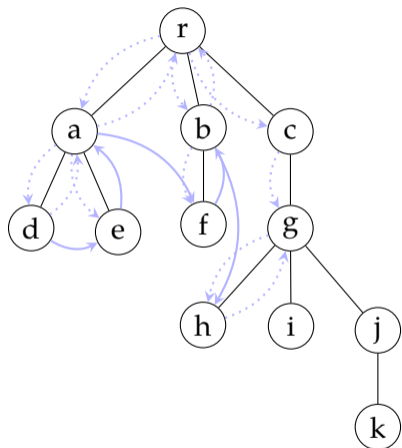


# Post-order traversal



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def post_order(node):
    for child in node.children:
        post_order(child)
    # process the node
    print(node.data)
```

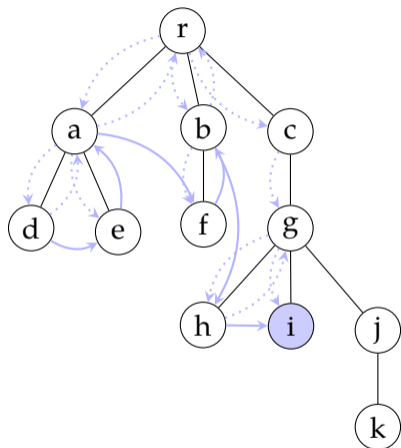
# Post-order traversal



```

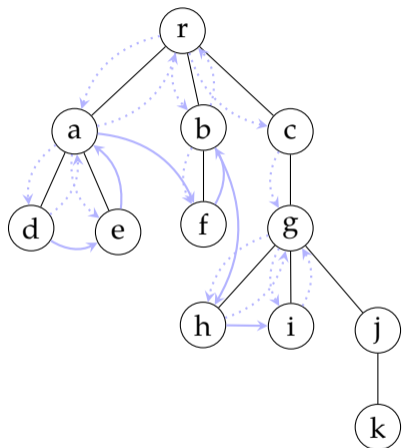
def post_order(node):
    for child in node.children:
        post_order(child)
    # process the node
    print(node.data)
  
```

# Post-order traversal



```
def post_order(node):
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        post_order(child)
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    print(node.data)
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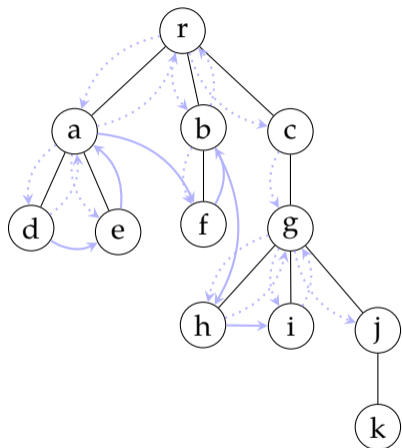
# Post-order traversal



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def post_order(node):
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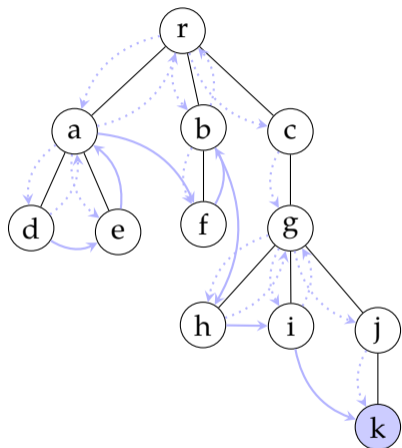
# Post-order traversal



```

def post_order(node):
    for child in node.children:
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    print(node.data)
  
```

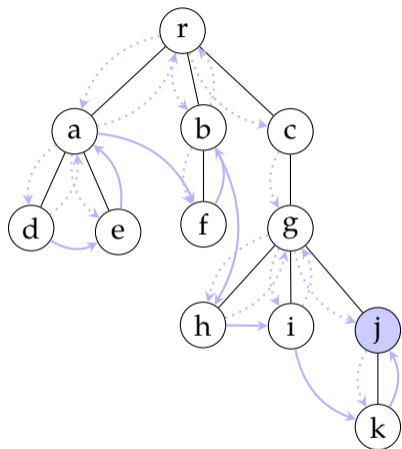
# Post-order traversal



```

def post_order(node):
    for child in node.children:
        post_order(child)
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```

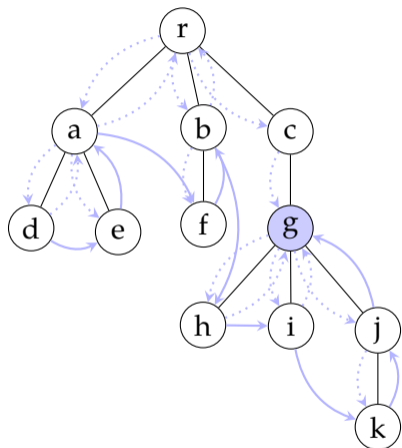
# Post-order traversal



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def post_order(node):
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        post_order(child)
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    print(node.data)
  
```

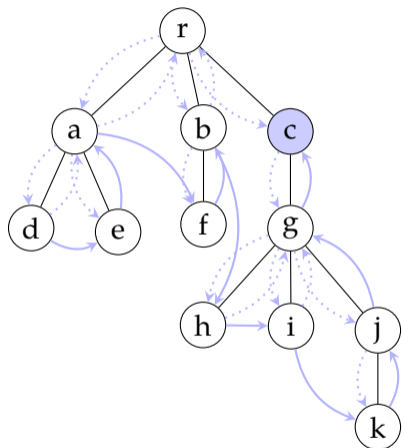
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def post_order(node):
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        post_order(child)
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    print(node.data)
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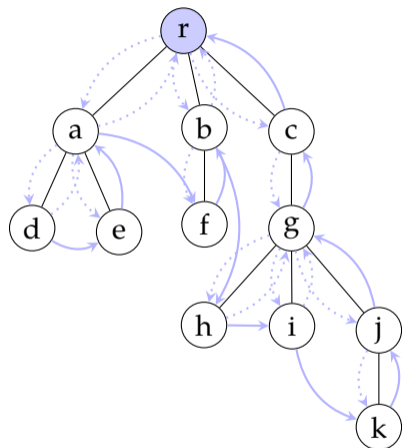


# Post-order traversal



```
def post_order(node):
    for child in node.children:
        post_order(child)
    # process the node
    print(node.data)
```

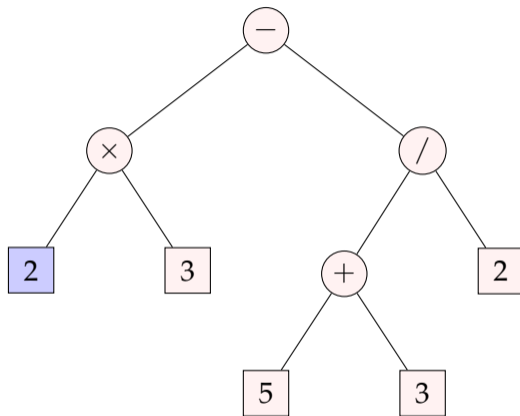
# Post-order traversal



d e a f b h i k j g c r

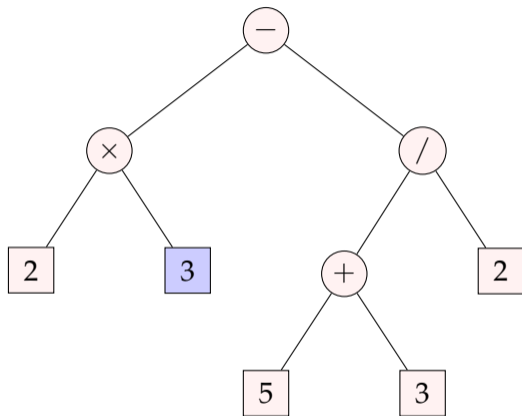
```
def post_order(node):
    for child in node.children:
        post_order(child)
    # process the node
    print(node.data)
```

# Example: post-order in an expression tree



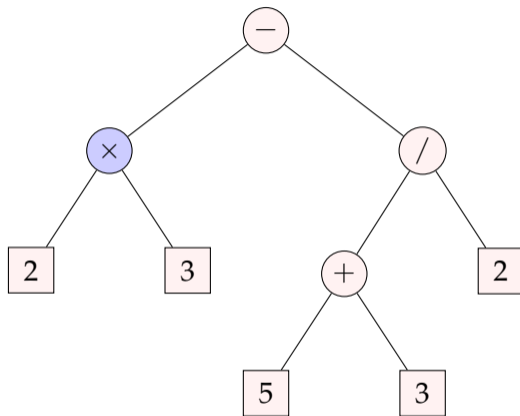
2

# Example: post-order in an expression tree



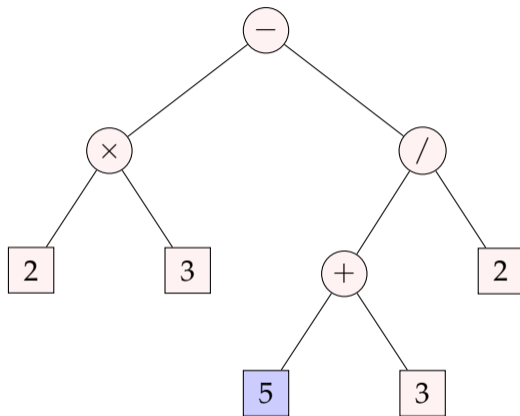
2 3

# Example: post-order in an expression tree



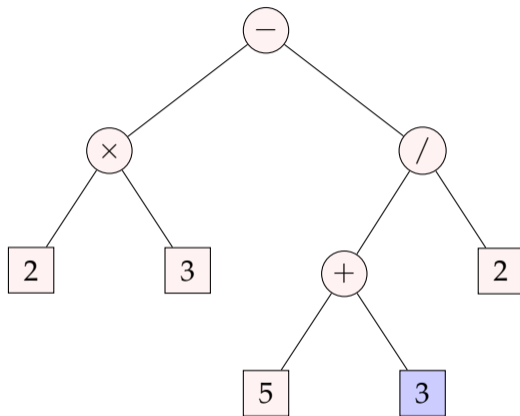
$2\ 3\ \times$

# Example: post-order in an expression tree



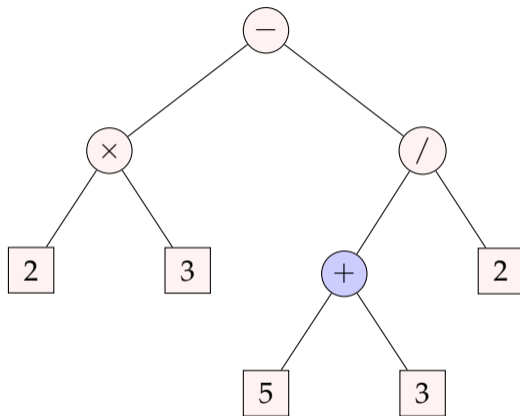
$$2 \ 3 \times 5$$

## Example: post-order in an expression tree



$2 \ 3 \times \ 5 \ 3$

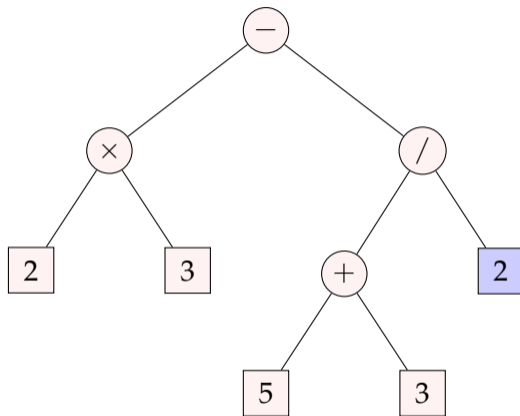
# Example: post-order in an expression tree



$2 \ 3 \times \ 5 \ 3 \ +$

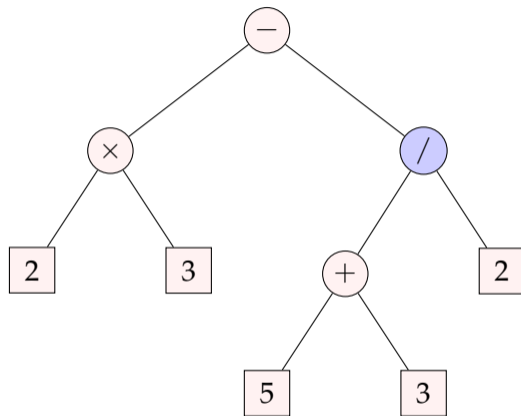


## Example: post-order in an expression tree



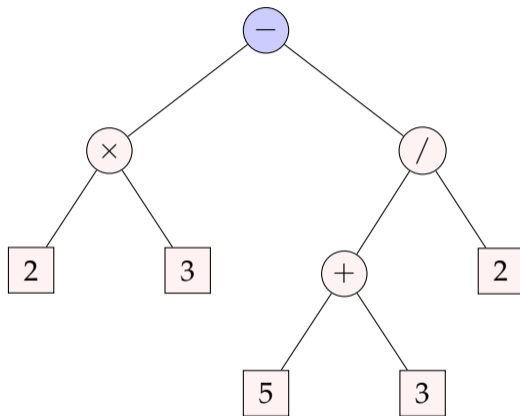
$2 \ 3 \times 5 \ 3 + 2$

## Example: post-order in an expression tree



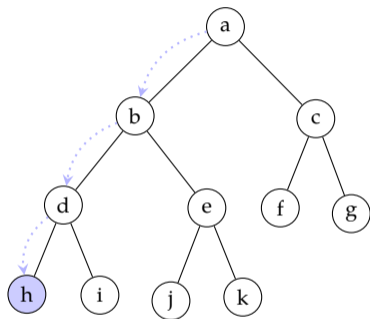
$2 \ 3 \times 5 \ 3 + 2 / -$

## Example: post-order in an expression tree



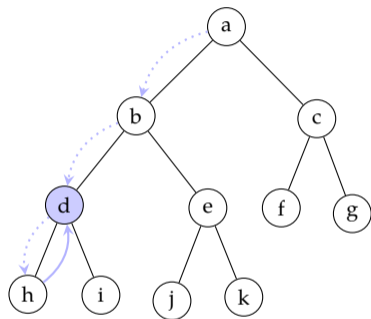
$2\ 3 \times 5\ 3 + 2 / -$

# In-order traversal



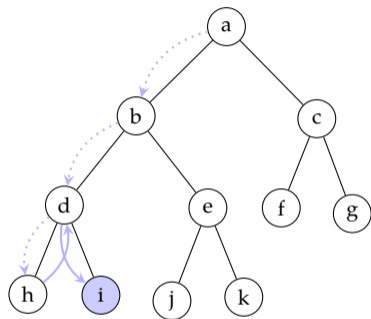
```
def in_order(node):  
    in_order(node.left)  
    # process the node  
    print(node.data)  
    in_order(node.right)
```

# In-order traversal



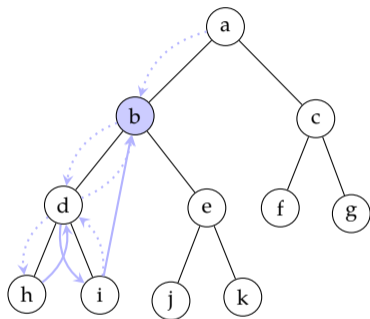
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def in_order(node):  
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# In-order traversal



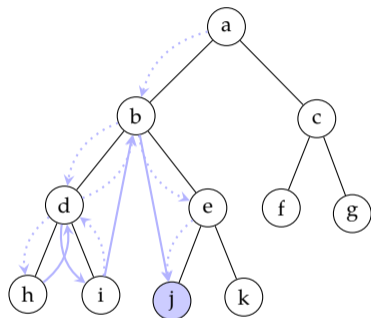
```
def in_order(node):  
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# In-order traversal



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def in_order(node):  
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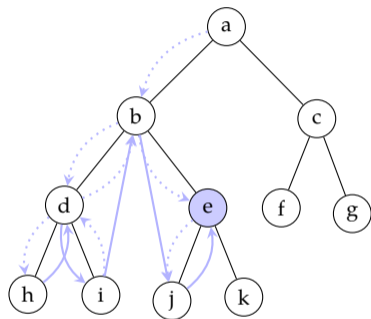
# In-order traversal



```
def in_order(node):  
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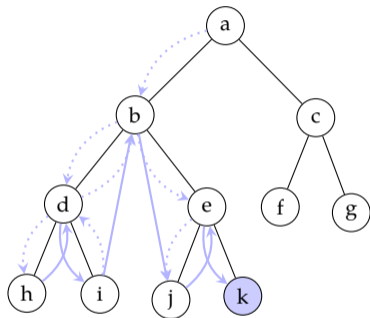


# In-order traversal



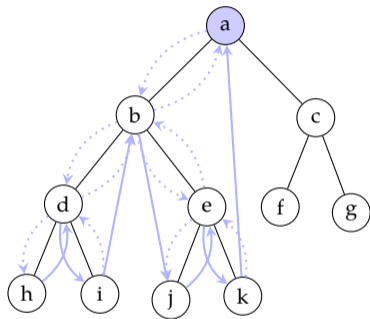
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def in_order(node):
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# In-order traversal



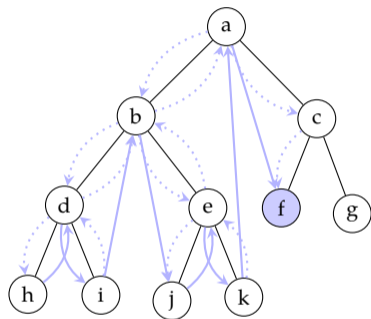
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    print(node.data)
    in_order(node.right)
```

# In-order traversal



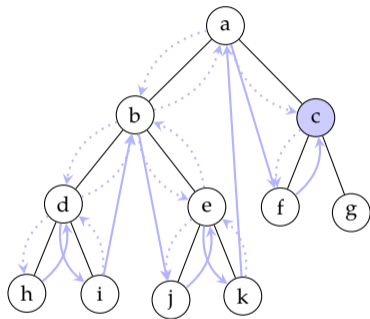
```
def in_order(node):
    in_order(node.left)
    # process the node
    print(node.data)
    in_order(node.right)
```

# In-order traversal



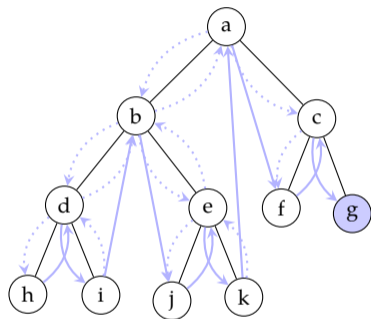
```
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# In-order traversal



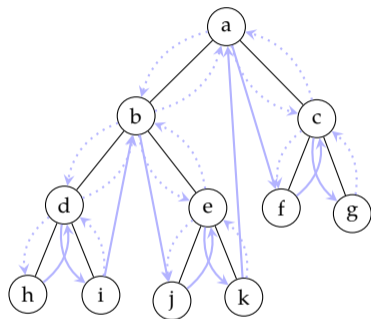
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def in_order(node):
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# In-order traversal



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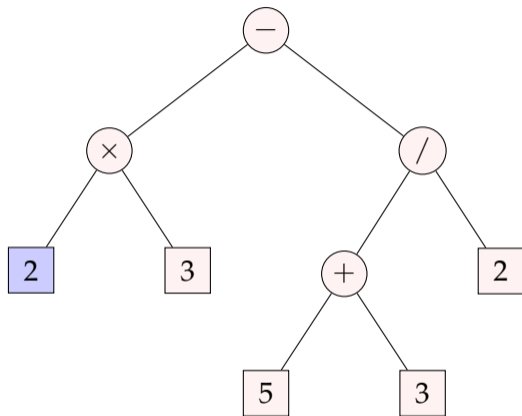
# In-order traversal



h d i b j e k a f c g

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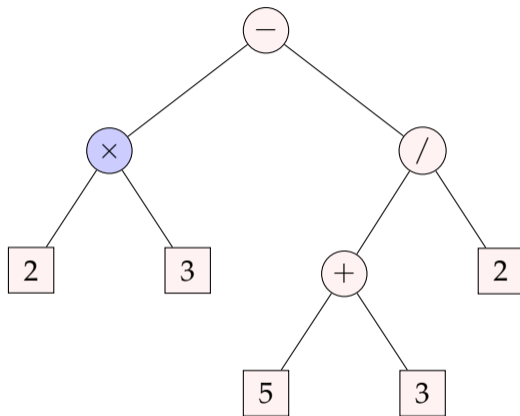
## Example: in-order in an expression tree



2

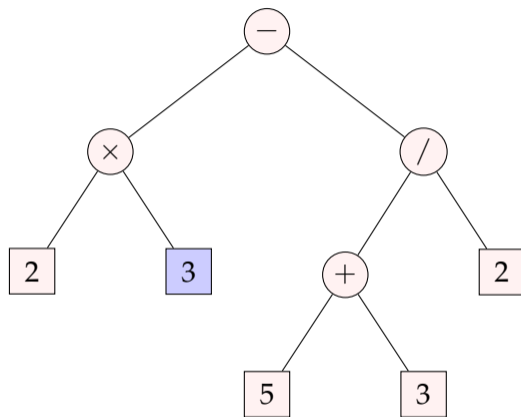


## Example: in-order in an expression tree



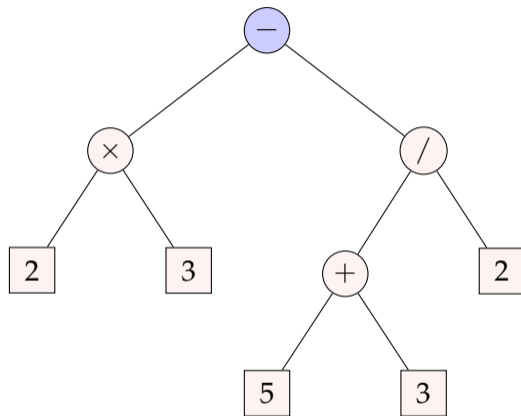
$2 \times$

## Example: in-order in an expression tree



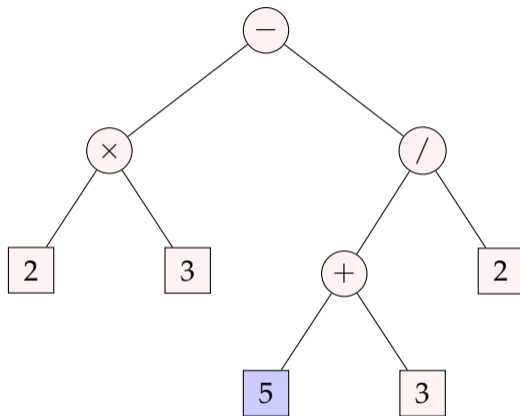
$$2 \times 3$$

## Example: in-order in an expression tree



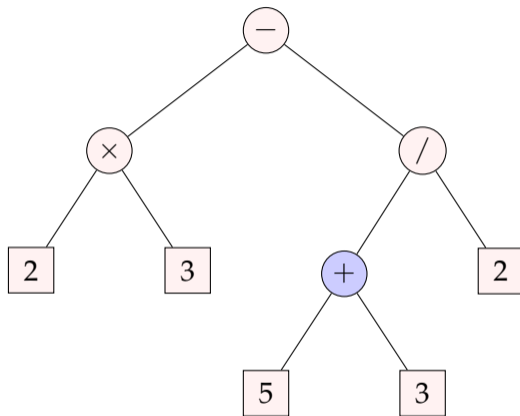
$2 \times 3 -$

## Example: in-order in an expression tree



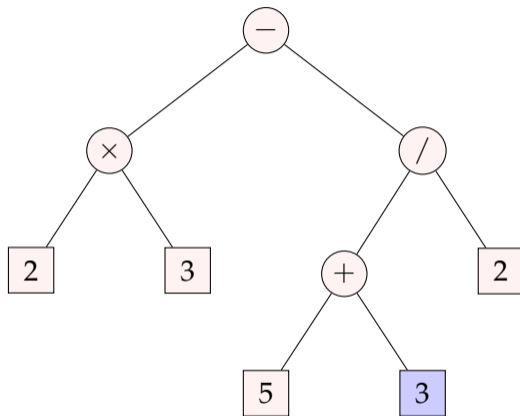
$$2 \times 3 - 5$$

## Example: in-order in an expression tree



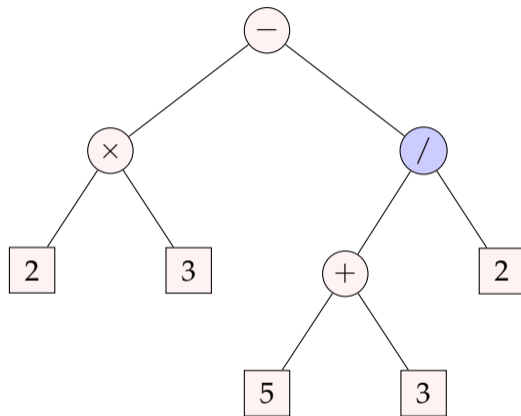
$$2 \times 3 - 5 + 3 / 2$$

## Example: in-order in an expression tree



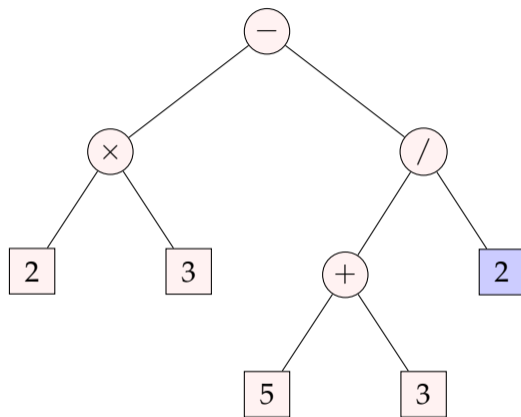
$$2 \times 3 - 5 + 3$$

## Example: in-order in an expression tree



$$2 \times 3 - 5 + 3 /$$

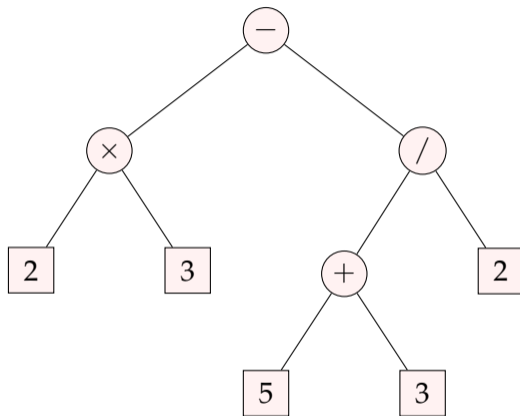
## Example: in-order in an expression tree



$$2 \times 3 - 5 + 3 / 2$$



## Example: in-order in an expression tree



$$((2 \times 3) - ((5 + 3) / 2))$$

# Summary

- Trees are hierarchical data structures useful in many applications
- We will often return to trees and properties of trees in the rest of the course
- Reading on trees: Goodrich, Tamassia, and Goldwasser (2013, chapter 8), and optionally the chapter on *search trees* (Goodrich, Tamassia, and Goldwasser 2013, ch. 11)

Next:

- Heaps and priority queues
- Reading: Reading: Goodrich, Tamassia, and Goldwasser (2013, chapter 9)

# Acknowledgments, credits, references



Goodrich, Michael T., Roberto Tamassia, and Michael H. Goldwasser (2013).  
*Data Structures and Algorithms in Python*. John Wiley & Sons, Incorporated. ISBN:  
9781118476734.







